



SECTION *[Signature]*

PATENT  
BO9-99-028  
0036.0043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Examiner: --  
L.C. Corning, et al. )  
Serial No.: 09/422,593 ) Art Unit: 2761  
Filed: October 21, 1999 )  
For: DATABASE DRIVEN WORKFLOW MANAGEMENT )  
SYSTEM FOR GENERATING OUTPUT MATERIAL )  
BASED ON CUSTOMER INPUT )

**TRANSMITTAL OF MISSING PARTS**

Box MISSING PARTS  
Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sirs:

Enclosed herewith the Declaration for the above-identified application, per the Patent Office Notice of Missing parts dated November 12, 1999 (copy enclosed). Enclosed is a check in the amount of \$130 in payment of the Surcharge Fee.

Please charge any additional fees, or credit any overpayments, to Deposit Account No. 50-0585. A duplicate of this petition is enclosed.

Respectfully submitted,

*[Signature]*  
David W. Victor  
Reg. No. 39,867  
KONRAD, RAYNES & VICTOR, LLP  
1180 S. Beverly Dr. #501  
Los Angeles, CA 90035  
(310) 556-7983

Dated: January 6, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed: Assistant Commissioner for Patents, Washington, D.C. 20231, on

January 6, 2000

*[Signature]*  
David W. Victor

1/6/00  
Date



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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TELETYPE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/12/2000 VVW111  
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01 FC:105



PATENT  
BO9-99-028  
0036.0043

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner:
L.C. Lahey et al.	)	
Serial No.: 09/422,593	)	
Filed: October 21, 1999	)	Art Unit: 2761
For: A WORKFLOW MANAGEMENT	)	
SYSTEM FOR GENERATING	)	
OUTPUT MATERIAL BASED ON	)	
CUSTOMER INPUT	)	

**PETITION FOR CORRECTED FILING RECEIPT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sirs:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error in that the following data is:

☒ incorrectly entered  
and/or

☐ omitted

☒ Applicant's names - "Leonard Corning Lahey, Jagdish Mooljee Nagda, Robert Curt Nielsen, Dwight Ross Palmer, Anthony Franke Stuart, Adam Alvin Stwartz" should read "Leonard Corning Lahey, Jagdish Mooljee Nagda, Robert Curt Nielsen, Dwight Ross Palmer,; Anthony Franke Stuart, Adam Alvin **Swartz**". The requested correction is shown in **bold print**.

- ☐ Applicant's address
- ☐ Title
- ☐ Filing Date
- ☐ Serial Number
- ☐ Foreign/PCT Application Reference

☐ Other

3. (complete the following applicable item A or B)

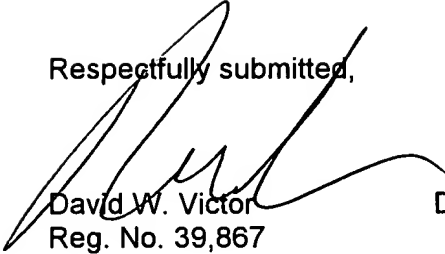
A. ☒ The correction is not due to any error by applicant and no fee is due

OR

B. ☐ The correction is due to applicant's error and the fee therefor under 37 C.F.R. 1.19(h) of \$25.00 is paid as follows:

- ☐ enclosed is check for \$25.00  
☐ charge Account 50-0585 \$25.00

Respectfully submitted,

  
David W. Victor  
Reg. No. 39,867

Dated: January 6, 2000

Konrad Raynes & Victor LLP  
1180 S. Beverly Drive, Suite 501  
Los Angeles, CA 90035

(310) 556-7983  
(310) 556-7984 (fax)

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January 6, 2000

David W. Victor

1/6/00  
(Date)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

36.4

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/422,593	10/21/99	2761	\$1,102.00	B09-99-028	13	39	3

DAVID W VICTOR  
KONRAD RAYNES & VICTOR LLP  
1180 S BEVERLY DRIVE SUITE 501  
LOS ANGELES CA 90035



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) LEONARD CORNING LAHEY, BOULDER, CO; JAGDISH MOOLJEE  
NAGDA, BOULDER, CO; ROBERT CURT NIELSEN, LONGMONT, CO;  
DWIGHT ROSS PALMER, LONGMONT, CO; ANTHONY FRÄNKE STUART,  
DEER ISLE, ME; ADAM ALVIN STWARTZ, BOULDER, CO.

SWARTZ

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/10/99  
TITLE

DATABASE DRIVEN WORKFLOW MANAGEMENT SYSTEM FOR GENERATING OUTPUT  
MATERIAL BASED ON CUSTOMER INPUT

PRELIMINARY CLASS: 705

DATA ENTRY BY: WYATT, DEBRA

TEAM: 06 DATE: 11/10/99

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(See reverse for new important information)